PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Smith et al.

Examiner:

Not Assigned

Application No.:

09/849,707

Group Art Unit:

2836

Filed:

May 4, 2001

Docket No.:

50019.57USU1

Title:

APPARATUS AND METHOD FOR IMPROVING ESD AND TRANSIENT

IMMUNITY IN SHUNT REGULATORS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on November 15, 2001

Name: Brett A. Hertzber

PRELIMNARY AMENDMENT

BOX NON-FEE AMENDMENT Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Prior to examination on the merits, please preliminarily amend the above-identified application as follows:

In the Specification:

Please replace the paragraph beginning at page 1, line 5, with the following rewritten paragraph:

-- This application claims the benefit under 35 U.S.C. § 119(e) of United States

Provisional Application No. 60/203,795, filed on May 12, 2000. --





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Brett A. Hertzberg

BOX NON-FEE AMENDMENT Commissioner for Patents

Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

Preliminary Amendment

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 206.342.6200

23552

PATENT TRADEMARK OFFICE

Name: Brett A. Hertzber

Reg. No.: 42,660

BAH:kh